



Account Opening Form

Online Mutual Fund, Fixed Deposits, IPOs & Bonds
www.bonanzafinmart.com

Only 'Individuals'
to affix recent
photograph
(Passport Size)

Client ID For office use only

RM Code

Sub Broker Code

Branch Code

Sign Across this photo

Personal Details

Desired Login Name (1) (2) (3)
(between 6-10 characters)

Full Name

PAN No. Email ID

Mobile No. Date of Birth

Address Details

Permanent Address

City Pin code

State Country

Correspondence Address

City Pin code

State Country

Annual Income Occupation

Status Country

Tel. (Office) Tel. (Res)

Fax (Office) Fax (Res)

Bank Details

Bank A/C No.

Bank Name A/C Type

IFSC Code MICR Code

Bank Branch Address

City Pin code

Holding Details

Mode of Holding Single Joint Anyone or Survivor

Second Holder Name

PAN No. Date of Birth

Third Holder Name

PAN No. Date of Birth

Nominee Details

	First Nominee <i>(First nominee is mandatory)</i>	Second Nominee	Third Nominee
Full Name			
Date of Birth	<input type="text"/>	<input type="text"/>	<input type="text"/>
Relation with Applicant			
Status			
Address <i>(If the address is same as applicant then just mention "same as applicant")</i>			
% of Nomination to each	%	%	%

Demat Details

Please tick one NSDL CDSL

DP ID

Beneficiary A/C No.

First Applicant Signature

Please sign in the box

Second Applicant Signature

Please sign in the box

Third Applicant Signature

Please sign in the box

Note:

1. Cross Sign the Photo on the first Page.
2. As per new SEBI Guidelines, all the investors; individuals & non-individuals both have to make new KYC.
3. At least one nominee is mandatory.
4. Your Login ID & Password will be intimated through a mail. Kindly reset the password at earliest.

Power of Attorney

NOW KNOW YOU ALL BY THESE PRESENTS THAT I/WE Mr. / Mrs. / Ms. _____

[All Investors name First, Second and Third holder, Nominee separated by (coma-,)].

An Individual / HUF / a Sole Proprietary Concern / A Partnership Firm / A Body Corporate / Registered Trust/ Incorporated, under the provisions of the Indian Partnership Act, 1956 or any relevant Act, having his/her / its residing / registered office / place of business at

[All Investors First, Second and Third holder, Nominee address in sequence separated by (coma-,)]

WHEREAS I/We wish to participate in the trading facilities, investments and other services offered by Bonanza Portfolio Ltd. (or any of its assigns).

Whereas as a consideration for the services as well as pre-requisite for fulfilling all the various pre conditions and requirements so as to become a client of Bonanza Portfolio Ltd. and to facilitate proper execution of all the transactions including but not limited to transactions for purchase, sale, investments, subscription of Equity IPOs, Mutual Funds, public provident funds, National Savings scheme of Govt. of India, Fixed deposits, Life insurance, General Insurance ,or order for purchase or sale of or application for any offer or public issue of shares ,derivatives including but not limited to, forwards, futures, swaps, and options, funded margin, scripts, stocks, bonds, debentures, mutual fund units of any collective investment scheme or any other securities or financial instrument and to sell the securities in my/our behalf in any buy back or open offers made by the issuer of such securities or any other financial products offered by Bonanza Portfolio Ltd.

("Company") through the web site www.bonanzafinmart.com with the **Login ID** _____ and have read, understood and agreed to be bound by the Terms and Conditions of the services), appearing on the web site www.bonanzafinmart.com or as specified by the Company, as amended from time to time.

WHEREAS in order to participate in the broking and other services offered by BPL, I/We have opened a Beneficiary accounts with BPL vide

Client ID No _____ (for Bonanza existing clients) AND WHEREAS I/We have also entered into the Member-Client Agreement (the 'Agreement') with BPL for availing of the online broking services ('E-broking Services') offered by BPL. AND WHEREAS for facilitating proper execution of all transactions undertaken by us/me pursuant to the Agreement, BPL requires the authority to give instructions for the transfer of securities from the beneficiary Account and therefore so as to assist BPL in properly executing all transactions.

I am/we are desirous of appointing BPL as my attorney for such purposes. NOW THESE PRESENTS WITNESS I/We do hereby jointly and severally nominate, constitute and appoint Bonanza Portfolio Ltd., a company incorporated under the Companies Act 1956 with its registered office at 4353/4C, Madan Mohan Street, Ansari Road, Daryaganj, New Delhi -110 002, acting through any of its officer/s as my/our true and lawful Attorney (hereinafter referred to as 'the said Attorney') for me/us, in my/our name and on my/our behalf and at my /our risk and costs to do, perform or execute all or any of the following acts, deeds, matters and things mentioned hereunder,

1. To operate, block and/or debit the designated Beneficiary Accounts) with the Depository Participant being BONANZA PORTFOLIO LTD., as the case may be, to meet all obligations in terms of the transactions undertaken by me /us pursuant to the Agreement.
2. To credit, the designated Beneficiary Accounts, with monies/securities/units due to me/us.
3. To have the first and paramount lien on the designated Beneficiary Accounts and to exercise such lien in the event of any loss suffered on account of any transaction done/executed by BPL on my/our behalf.
4. Generally, to do all such acts, deeds, matters and things as may be necessary for or incidental to ensure all payments for and delivery of securities arising out of transactions undertaken by me/us under the Agreement are duly and properly completed.
5. To subscribe to and/ or redeem units of mutual funds, to subscribe to Equity IPOs and to subscribe to and / or redeem units of any other financial products offered on web site www.bonanzafinmart.com on my/our behalf and to pay for the same. The term 'Securities' shall include securities as defined by the Securities Contracts (Regulation) Act, 1956 as amended from time to time.
6. To confirm having read and understood the contents of the offer documents of various schemes of mutual funds in which the Company may invest on behalf of myself/ ourselves and not to hold the mutual fund / asset management company liable for any transaction processed on the basis of information provided by the Company.
7. To receive statements and other documents pertaining to the above units/securities and to acknowledge receipt of the same.
8. To sign all such application forms, transfer deeds, redemption requests, depository forms and other documents I/We deeds and to do all such acts as may be required for all or any of the above purposes.
9. To correspond with and give notice to the corresponding asset management company/body corporate/issuer/registrars and transfer agent of securities including giving instructions with regard to nomination/change in investment plans/any other changes that may be necessitated.
10. To appoint or remove any agent(s) or substitute(s) or sub-Delegate/s with all or any of the powers herein contained in order to enable such agent(s), substitute(s), or sub- delegate(s) to exercise all or any of the powers given by me/us to the said attorney.

11. To do or omit to do all such acts and things as the Company may in its discretion consider to be necessary or desirable in order to exercise its powers hereunder or to comply with any laws, order rules, regulations or directions of any government or regulatory or other authorities.
12. To retain all original of the documents executed by me/us.
13. My attorney BPL shall not be liable for any loss that may result from failure/inability in electronic connectivity or rejection of my/our application for any reason whatsoever.
14. We the joint holders of demat account agree, ratify and confirm that the client herein above mentioned shall be the exclusive beneficiary of the transactions carried out pursuant to this power of attorney.

AND GENERALLY to do, perform and execute all such other acts, deeds, instruments, matters and things for on my/our behalf as the said Attorney may think fit in respect of the above matters as fully and effectually and to all intents and purposes as I/we/myself/ourselves could do if I/we were personally present AND for the further, better and more effectually doing, executing and performing the several matters and things aforesaid. I/we hereby give and grant unto the said Attorney full power and authority from time to time to appoint one or more substitute and substitutes to do, execute and perform all or any of such matters and things as aforesaid and the substitute or substitutes at pleasure to remove and to appoint another or others in his/her place AND I DO HEREBY for myself, my heirs, executors and administrators agree to ratify and confirm all and whatsoever my said Attorney shall do or purport to do or cause to be done by virtue of these presents

That, I/We hereby declare that all the actions taken by my/our above mentioned attorney (herein BPL) in this regard shall be deemed to be actions done by me/us and if necessary shall be ratified by me/us on the instructions of said attorney and that such actions will be binding on me/us.

IN WITNESS WHEREOF I/We _____

 [All Investors name First, Second and Third holder, Nominee separated by (coma-,)]

have here to set our hand(s) at [Place]_____ this [Date]_____ day of [Month]_____,200 [Year].

SIGNED, SEALED AND DELIVERED

Sr.	Holder	Name	Signature
1.	First Holder		
2.	Second Holder		
3.	Third Holder		
Sr.	Witnes Name	Address	Signature
1.			
2.			

I/We Accept

Name, Address and Signature of The Attorney I Authorised Signatory	
1. Name	
Signatory	
2. Name	
Signatory	

List of Documents

Important Note:

- Pan Card is Mandatory for all Applicants
- All copies of documents to be signed by applicant for self attestation.
- In case KYC is not done for Mutual Funds then you need to send a separate set of copies Documents as mentioned in KYC forms with the below given documents.
- Kindly note that all the send documents and information given at the time of registration will be verified by call/ email or in person.

List of documents for Individual

Photograph: Pasted on Application Form & Crossed Signed

Pan Card Copy

Proof of Identity- PAN Card (Mandatory)

Proof of address: Anyone of the following valid documents & tick (✓) against the document attached.

- Latest Telephone Bill (not more than 2 month old)
- Latest Electricity Bill (not more than 2 month old)
- Passport
- Driving License (with validity period)
- Latest Bank Passbook I Bank Account Statement
- Voter Identity
- Card Ration
- Card Registered Lease I Sale Agreement of residence
- Cancel cheque

For Non Individual:

Copy of PAN Card of respective entity is Mandatory.

HUF	Pan Card Copy of Karta	Deed of Declaration	Latest Bank Pass Book/ Statement	Authorised Signatory List with specimen signatures
Company/ Body Corporate	Certificate of incorporation	Memorandum & Articles of Association	Board Resolution	
Partnership firms	Certificate of Registration	Partnership deed	No Objection Certificate from partners	
Trusts, Foundations, NGO's, Charitable Bodies, Clubs/ MutualFunds Schemes	Certificate of Registration	Trust deed	Document Evidencing Authority to Invest	
Unincorporated association or a body of individuals	Proof of Existence Constitution Document		Document Evidencing Authority to Invest	
Foreign Institutional Investors (FIIs)	Letter and Certificate of Registration issued by SEBI			
Scheduled Commercial Banks and Registered Financial institutions not incorporated under the companies Act, 1956 I Regulatory Bodies/ Army/ Government Bodies / any other bodies created / incorporate/ registered under state or Central legislation being eligible to invest in Mutual Funds & other securities		Copy of Constitution I registration documents	Document Evidencing Authority to Invest	

Please send duly filled & signed Application form with the Documents at below address:

Bonanza Portfolio Limited
 MF Dept, Plot No. M-2, Cama Industrial Estate
 Walbhat Road, Goregaon East
 Mumbai- 400063
 022 30863700

For more details kindly call us on 022 30863700 or mail on customercare@bonanzaonline.com

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCICard and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale

Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, Mfs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

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- If any proof of identity or address is in a foreign language, then translation into English is required.
- Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- If correspondence & permanent address are different, then proofs for both have to be submitted.
- Sole proprietor must make the application in his individual name & capacity.
- For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OC Card and overseas address proof is mandatory.
- For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
- In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
- For opening an account with Depository participant or Mutual Fund, for a minor or photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
- Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/Judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): List of documents admissible as Proof of Identity:

- PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
- Unique Identification Number (UID) (Aadhaar)/Passport/Voter ID card/Driving license.
- Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (* Documents having an expiry date should be valid on the date of submission.)

- Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of

Residence/Driving License/Flat Maintenance bill/Insurance Copy.

- Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
- Bank Account Statement/Passbook - Not more than 3 months old.
- Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
- Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
- Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
- For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
- The proof of address in the name of the spouse may be accepted.

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- In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
- Investors residing in the state of Sikkim.
- UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
- SIP of Mutual Funds upto Rs 50,000/- p.a.
- In case of institutional clients, namely, FIIs, MFs, VCFs, FVCI, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

- Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
- In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	<ul style="list-style-type: none"> Copy of the balance sheets for the last 2 financial years (to be submitted every year) Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year) Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations Photograph, POI, POA, PAN of individual promoters holding control – either directly or indirectly Copies of the Memorandum and Articles of Association and certificate of incorporation Copy of the Board Resolution for investment in securities market Authorised signatories list with specimen signatures
Partnership firm	<ul style="list-style-type: none"> Copy of the balance sheets for the last 2 financial years (to be submitted every year) Certificate of registration (for registered partnership firms only) Copy of partnership deed Authorised signatories list with specimen signatures Photograph, POI, POA, PAN of Partners
Trust	<ul style="list-style-type: none"> Copy of the balance sheets for the last 2 financial years (to be submitted every year) Certificate of registration (for registered trust only). Copy of Trust deed List of trustees certified by managing trustees/CA Photograph, POI, POA, PAN of Trustees
HUF	<ul style="list-style-type: none"> PAN of HUF Deed of declaration of HUF/List of coparceners Bank pass-book/bank statement in the name of HUF Photograph, POI, POA, PAN of Karta
Unincorporated Association or a body of individuals	<ul style="list-style-type: none"> Proof of Existence/Constitution document Resolution of the managing body & Power of Attorney granted to transact business on its behalf Authorized signatories list with specimen signatures
Banks/Institutional Investors	<ul style="list-style-type: none"> Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years Authorized signatories list with specimen signatures
Foreign Institutional Investors (FII)	<ul style="list-style-type: none"> Copy of SEBI registration certificate Authorized signatories list with specimen signatures
Army/Government Bodies	<ul style="list-style-type: none"> Self-certification on letterhead Authorized signatories list with specimen signatures
Registered Society	<ul style="list-style-type: none"> Copy of Registration Certificate under Societies Registration Act List of Managing Committee members Committee resolution for persons authorised to act as authorised signatories with specimen signatures True copy of Society Rules and Bye Laws certified by the Chairman/Secretary

Please Submit the KYC Documents on A4 Size Paper Only.

Date:

To,

Sub: Transfer of offline Mutual fund units to electronic online platform

Dear Sir,

I, _____, am a subscriber of mutual fund units with
_____ Mutual Fund under the following folios:

Folio: _____

Folio: _____

Folio: _____

The transaction was carried out by my distributor, **Bonanza Portfolio Ltd** under the **ARN 0186**.

Now, I wish to transfer these holdings under the above mentioned folios to (Distributor Name) online mutual fund transaction platform. Please note the distributor and the ARN code continues to remain the same.

Also note that once the transfer takes place, I shall do all our transactions in the electronic form through the website of (Distributor Name) and I accept the terms and conditions governing the website (Website Name)

Request you to carry out the process at the earliest.

Thank you,

Regards,

(Investor Name & Sign)

REMARKS FROM (Distributor Name)

We authorize the transfer of the units under the above mentioned folios to the electronic online mutual fund platform.

Distributor's Name & Signature